



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)

Marc Alaia, et al.)

Examiner: Patel, Jagdish)

Application No.: 09/832,381)

Art Unit: 3624)

Filed: April 11, 2001)

For: Method And System For Dynamically)
 Controlling Overtime In Electronic)
Auctions)

Mail Stop Amendment
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

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Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

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X A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

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- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: May 9, 2005



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Substitute for Form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	09/832,381
Filing Date	April 11, 2001
First Named Inventor:	Marc Alaia
Art Unit	3624
Examiner Name	J. Patel
Attorney Docket Number	003660.P019C

Sheet

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If known)				
		US-	3,863,060	1/28/1975	Rode et al.	
		US-	4,597,045	6/24/1986	Kiuchi	
		US-	4,799,156	1/17/1989	Shavit et al.	
		US-	4,842,275	6/27/1989	Tsatskin	
		US-	5,640,569	6/17/1997	Miller et al.	
		US-	5,799,151	8/25/1998	Hoffer	
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		US-	5,862,223	1/19/1999	Walker et al.	
		US-	5,897,621	4/27/1999	Boesch et al.	
		US-	5,905,975	5/18/1999	Ausubel	
		US-	5,915,209	6/22/1999	Lawrence	
		US-	5,966,699	10/12/1999	Zandi	
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		US-	6,055,518	4/25/2000	Franklin et al.	
		US-	6,058,379	5/2/2000	Odom et al.	
		US-	6,061,663	5/9/2000	Bloom et al.	
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		US-	6,119,229	9/12/2000	Martinez et al.	
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		US-	6,199,050 B1	3/6/2001	Alaia et al.	
		US-	6,223,167 B1	4/24/2001	Alaia et al.	
		US-	6,230,146 B1	5/8/2001	Alaia et al.	
		US-	6,230,147 B1	5/8/2001	Alaia et al.	
		US-	6,408,283 B1	6/18/2002	Alaia et al	

Examiner
Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS.

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Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known		
				Application Number	09/832,381	
				Filing Date	April 11, 2001	
				First Named Inventor:	Marc Alaia	
				Art Unit	3624	
				Examiner Name	J. Patel	
				Attorney Docket Number	003660.P019C	
Sheet	2 of 3					
U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
		US-				
		US-				
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)				
		CA	2,298 481	9/11/1998	Johnson et al.		
		EP	0 399 850 A	11/28/1990	Silverman		
		WO	92/15174	9/3/1992	Grusin, et al.		
		WO	98/34187 A1	8/6/1998	Grofthauge et al.		
		WO	99/63461	12/9/1999	Korn, et al.		
		WO	00/17797	3/30/2000	Alaia et al.		
		WO	00/79463 A1	12/28/2000	Ephrati et al.		
		WO	01/54040 A1	7/26/2001	Kinney et al.		

Examiner Signature		Date Considered	
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¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.

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			Examiner Name	J. Patel	
Sheet		3 of 3	Attorney Docket Number		003660.P019C
NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
		Kikuchi, et al., "Multi-Round Anonymous Auction Protocols," IEICE Trans. Inf. & Syst., Vol. E82-D, No. 4 (April 1999)			
		Vigoroso, "Buyers prepare for brave new World of E-Commerce," Purchasing, Vol. 126, No. 6, p. S4(1) (April 22, 1999)			
		FCC AUCTION, PROCEDURES, TERMS AND CONDITIONS retrieved from < http://wireless.fcc.gov/auctions/17/releases/lmdsbp_p.pdf > (December 1997)			
		M. Reck, "Types of Electronic Auctions," Hochschule St. Gallen			
		C. Wrigley, "Design Criteria for Electronic Market Servers," Electronic Markets, Vol. 7, No. 4 (1997)			
		"Shawmut National Will Auction \$46 Million In Commercial Real Estate in December," Business Wire, Business Editors (November 3, 1992)			
		Wurman et al., "The Michigan Internet AuctionBot: A Configurable Auction Server for Human and Software Agents," Artificial Intelligence Laboratory, University of Michigan (1998)			
		Buyer's Auction Onoine, http://buyersauction.com/userinfo.htm (1998)			
		Keith Ervin, "Three Tree Point Property Draws 1.5 Million Bid," Seattle Times (February 11, 1998)			

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